



KMC Bioinformatics Workshop

Alpha diversity

Kiel, September 25, 2024 – Ana Schaan



IKMB

Institute of Clinical
Molecular Biology

Alpha diversity in Microbiome Analysis

- Part 1: Understanding the concepts
 - Why Alpha diversity matters
 - How we measure it (diversity indexes)
 - Rarefaction
- Part 2: Hands-on Practice
 - Quick intro to R and to the JupyterHub
 - Calculating and visualizing Alpha diversity with real GMbC data

Alpha diversity: Within sample diversity

- **Definition**

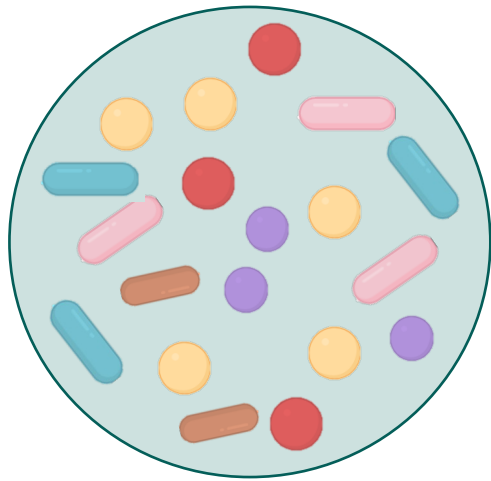
- Local-scale diversity
- Describes **species richness** (number of species) and **evenness** (how evenly they are distributed) within an ecological unit, e.g. the human gut, a pond.

- **Importance**

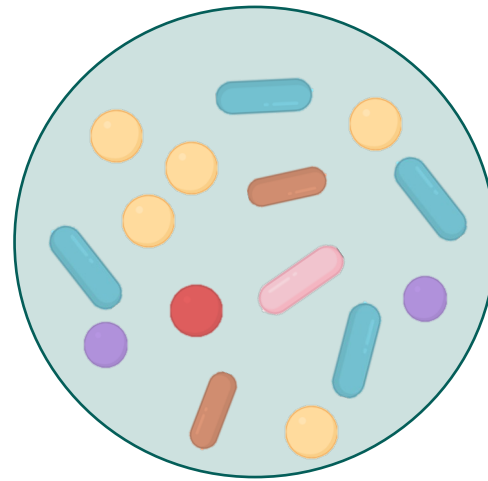
- Understanding ecosystem functionality and resilience
- Captures how diverse a microbial population is within a given environment

Exploring microbial communities across different environments

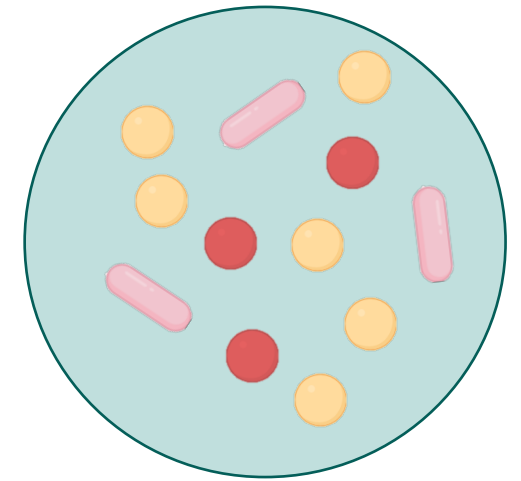
Sample 1



Sample 2



Sample 3

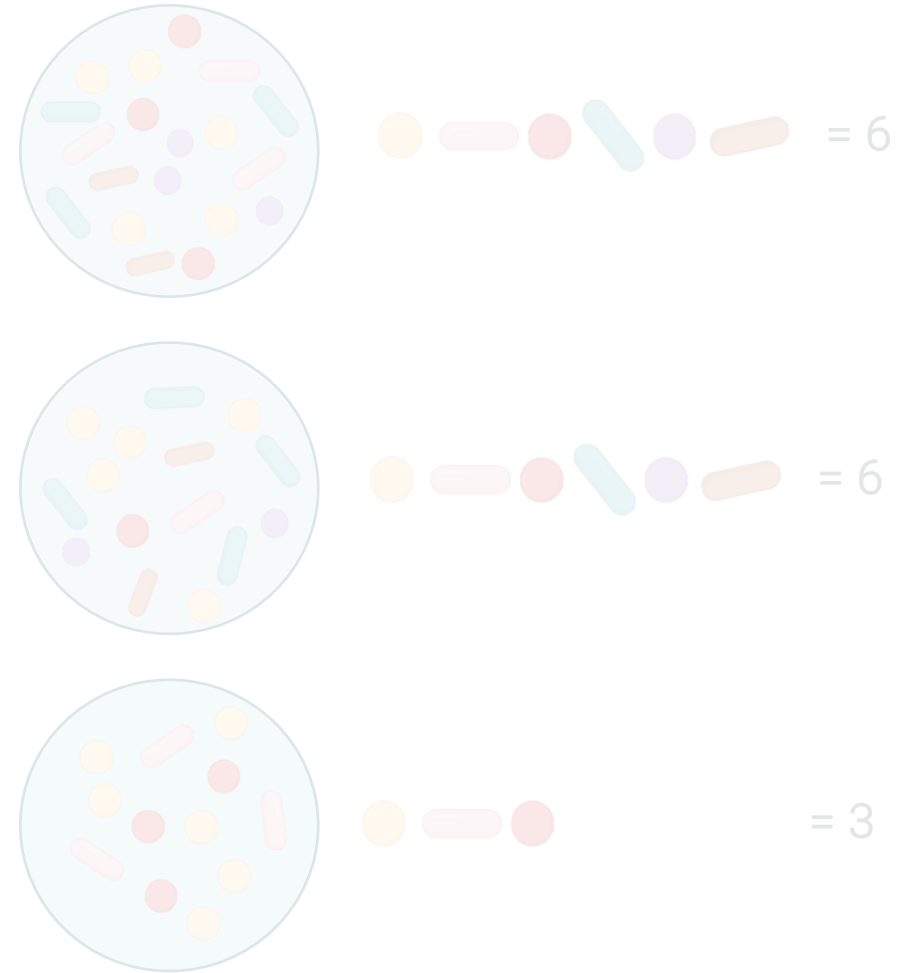


Key concepts for alpha diversity

Species richness

Measure of the number of different species present in a community.

The simplest way to describe biodiversity: focuses on counts.

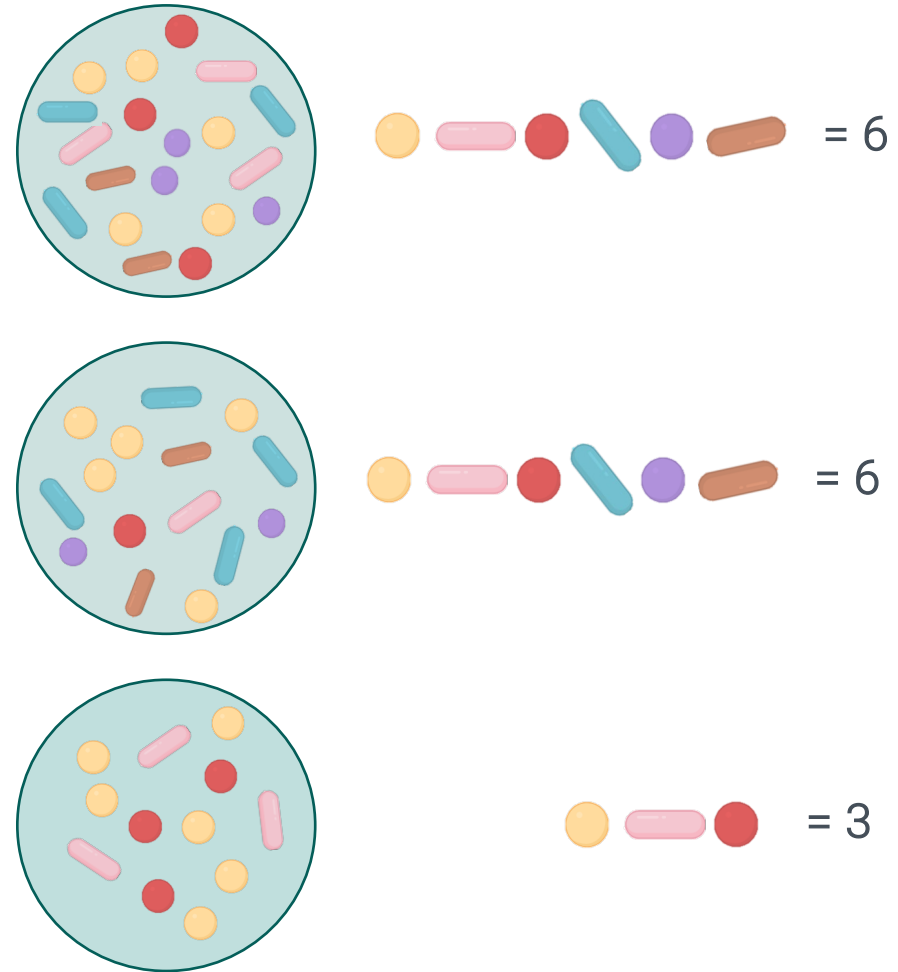


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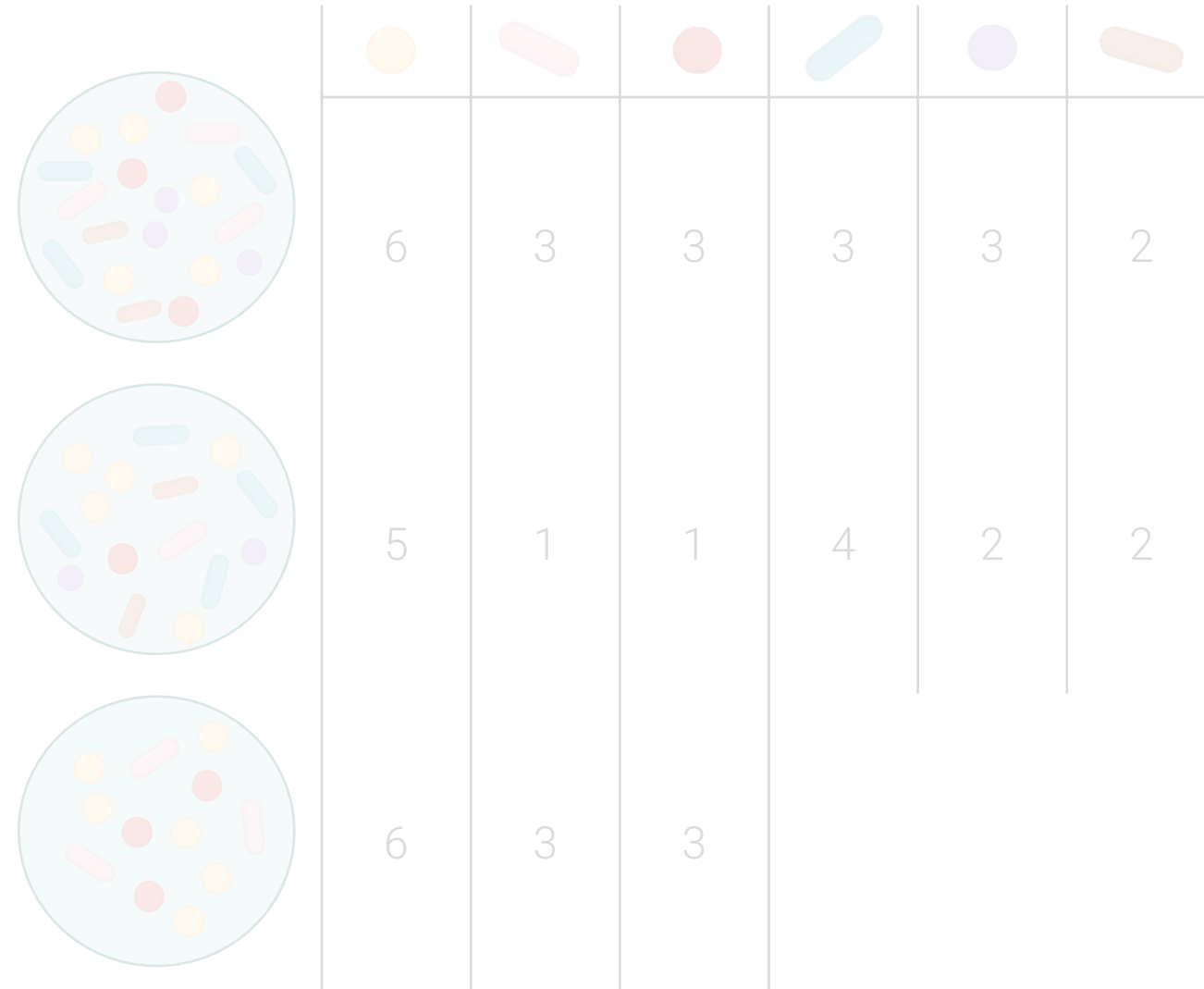
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Evenness

Measures how similar the abundances of different species are.

High evenness: species are similarly distributed.

Low evenness: One of a few species dominate the community.



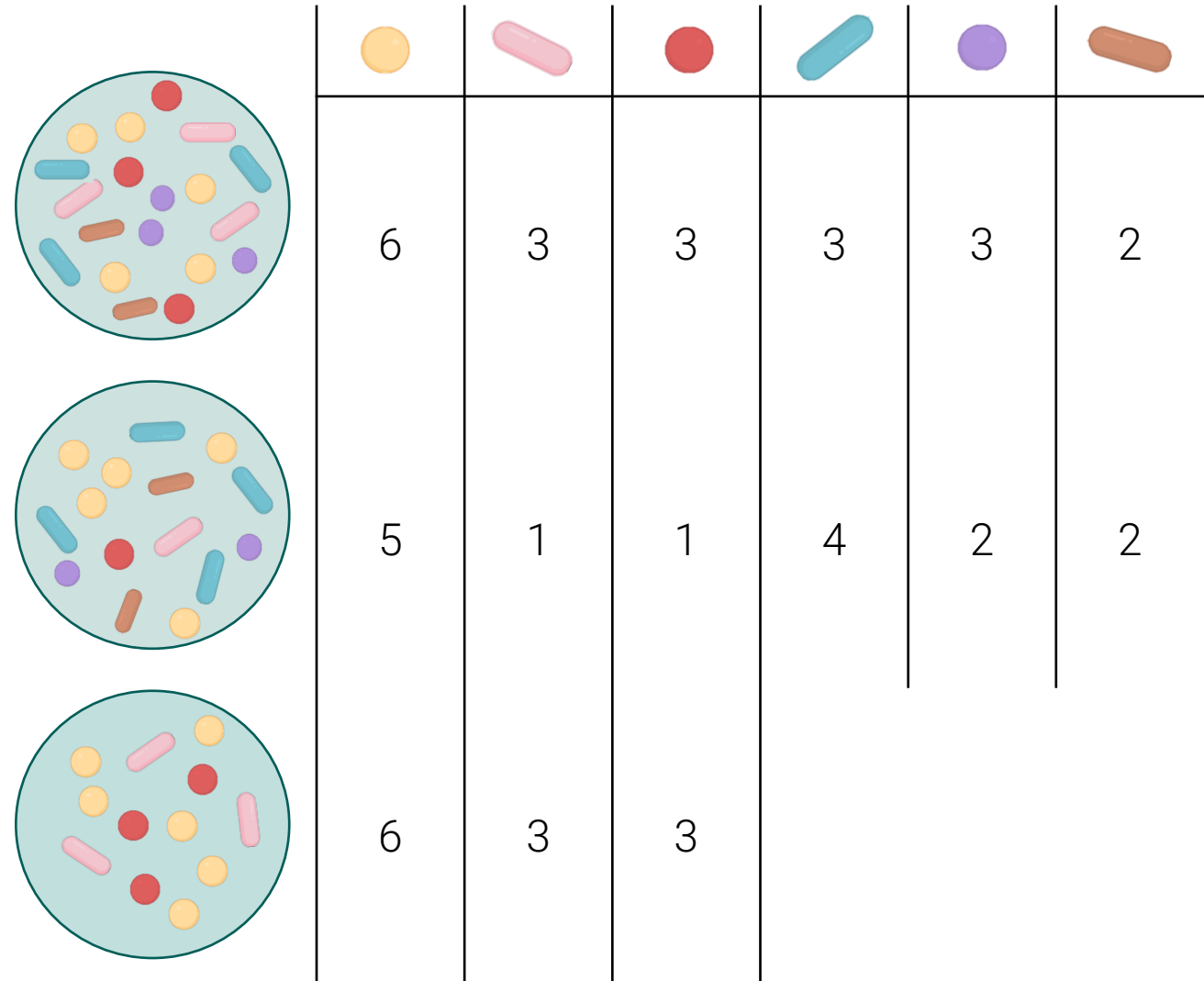
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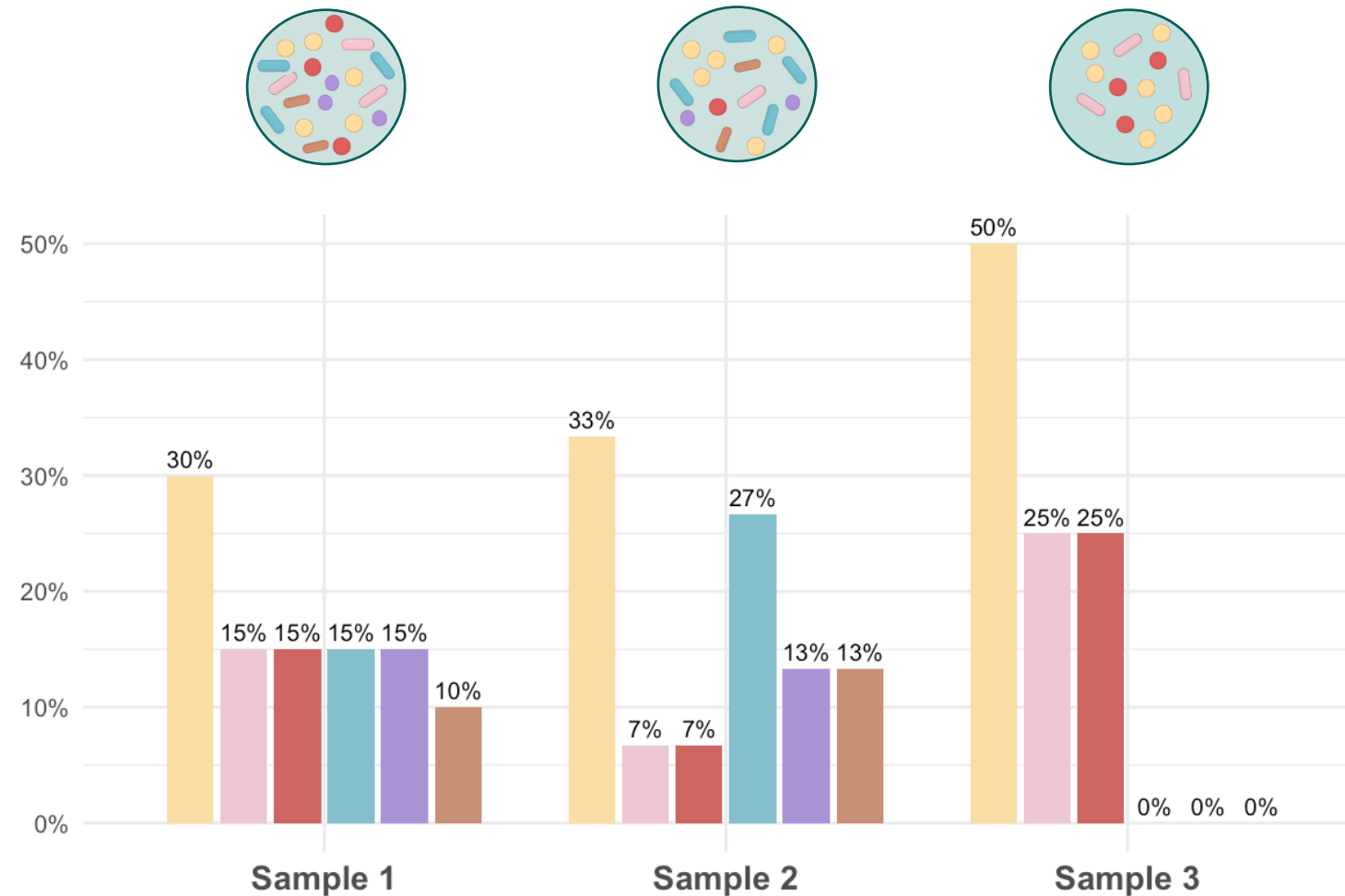
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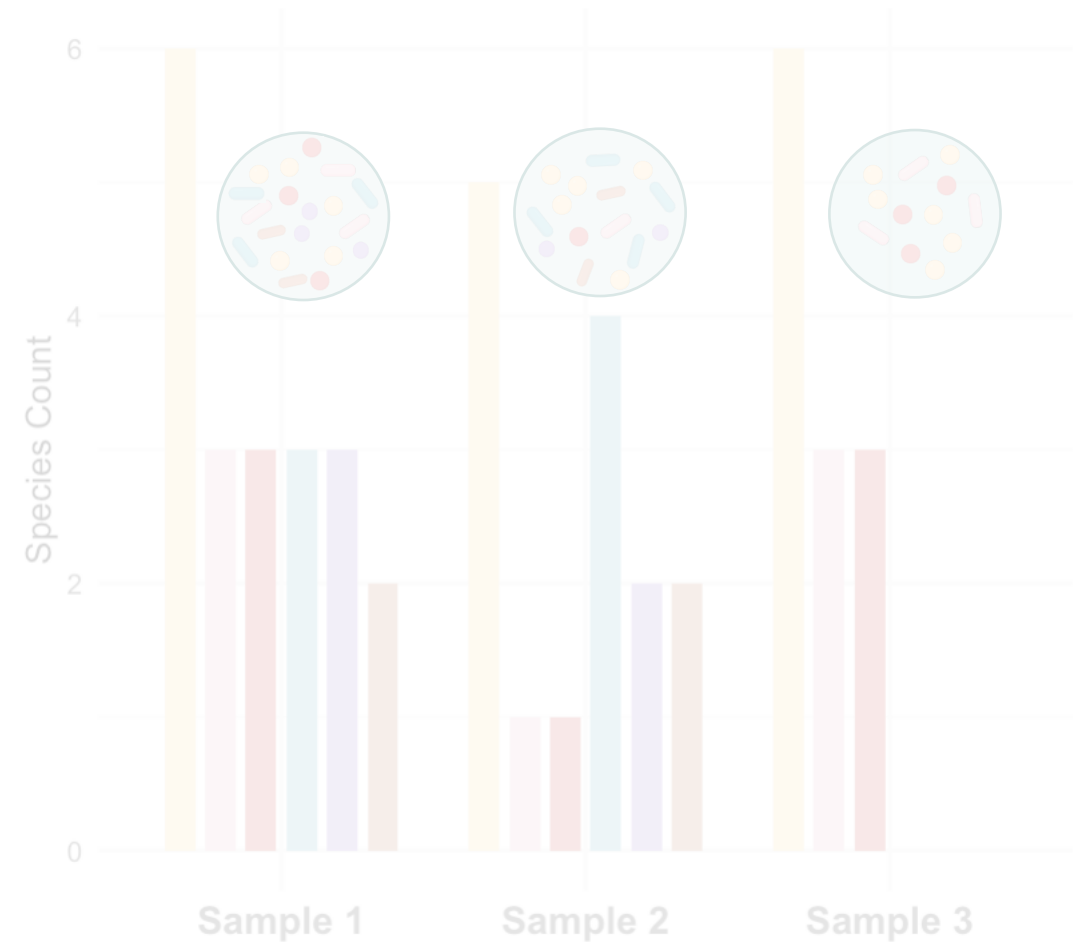
Key concepts for alpha diversity

Singletons and doubletons

Singletons: A species that is represented only once in a community or sample. Provide insight into potential undetected species!

Doubleton: A species that is represented exactly two times in a community or sample. Also informs about rarity of species in a community.

Richness estimators such as **Chao1** take this into consideration.



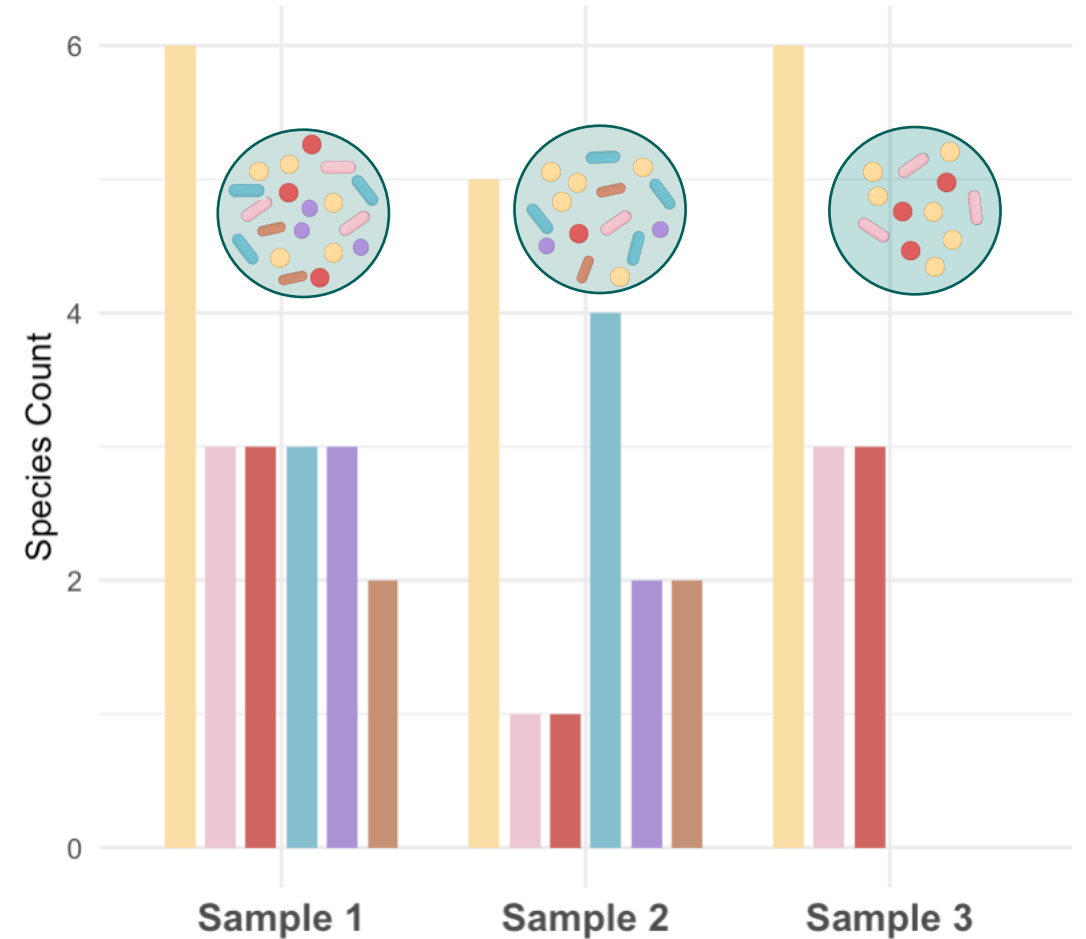
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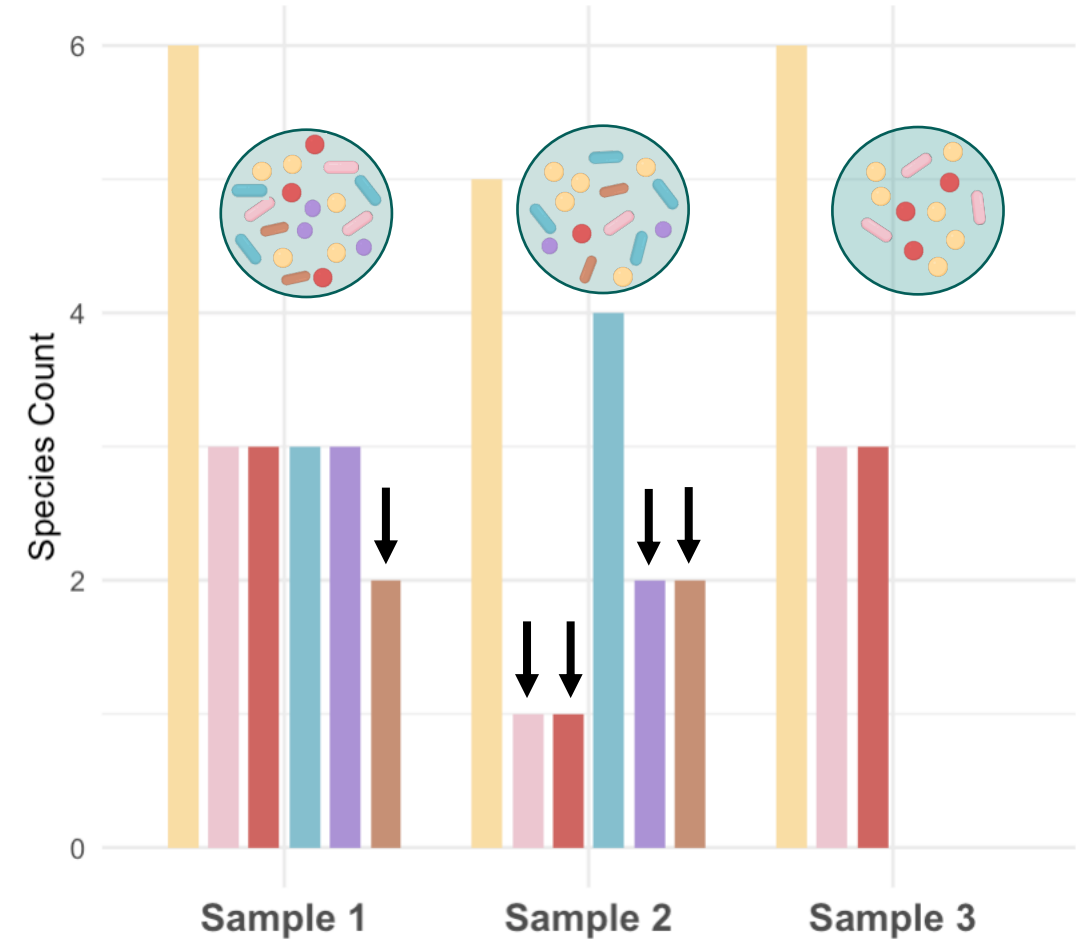
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Key metrics used to calculate alpha diversity

Observed Number of Species

Total count of distinct species in sample.

S = Number of unique species observed

Shannon Diversity Index

Measures richness and evenness.

$$H' = -\sum(p_i * \ln(p_i))$$

p_i is the proportion of species i

Simpson's Diversity Index

Measures the probability that two randomly selected individuals belong to the same species.

$$D = 1 - \sum p_i^2$$

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Chao1 Richness Estimator

Estimator of richness that accounts for rare species, including those that are absent from the sample.

$$S = S_{obs} + (f_1^2) / (2 * f_2)$$

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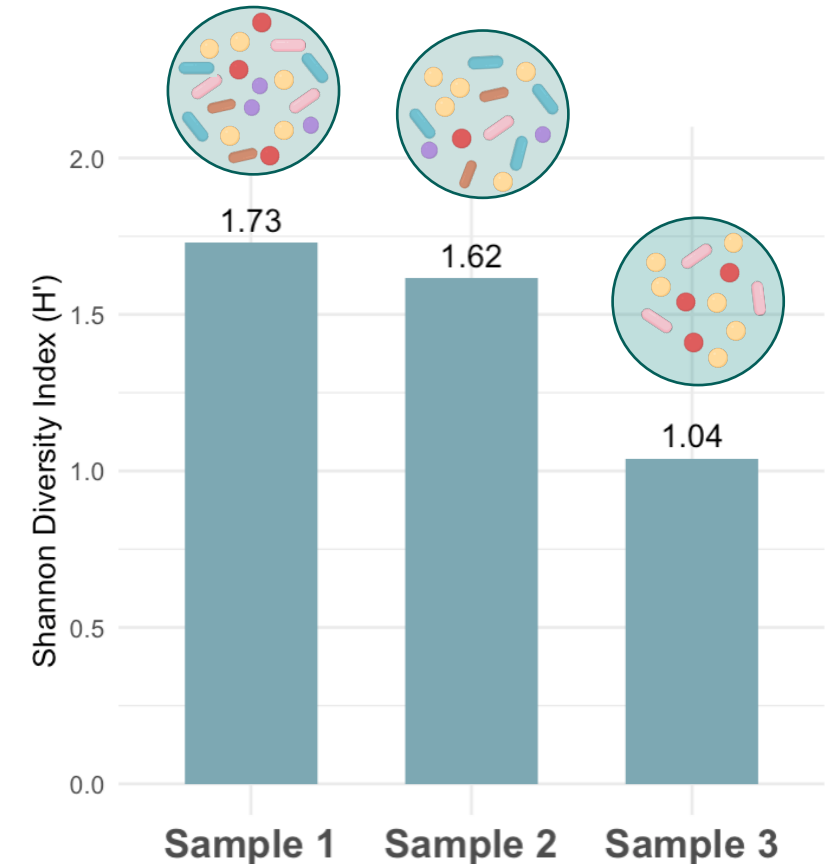
The Shannon Diversity Index (H')

Definition:

A measure that accounts for both species richness and evenness of species in a community. It quantifies the uncertainty in predicting the species of a randomly chosen individual.

$$H' = -\sum(p_i * \ln(p_i))$$

p_i is the proportion of species i



Simpson's diversity index

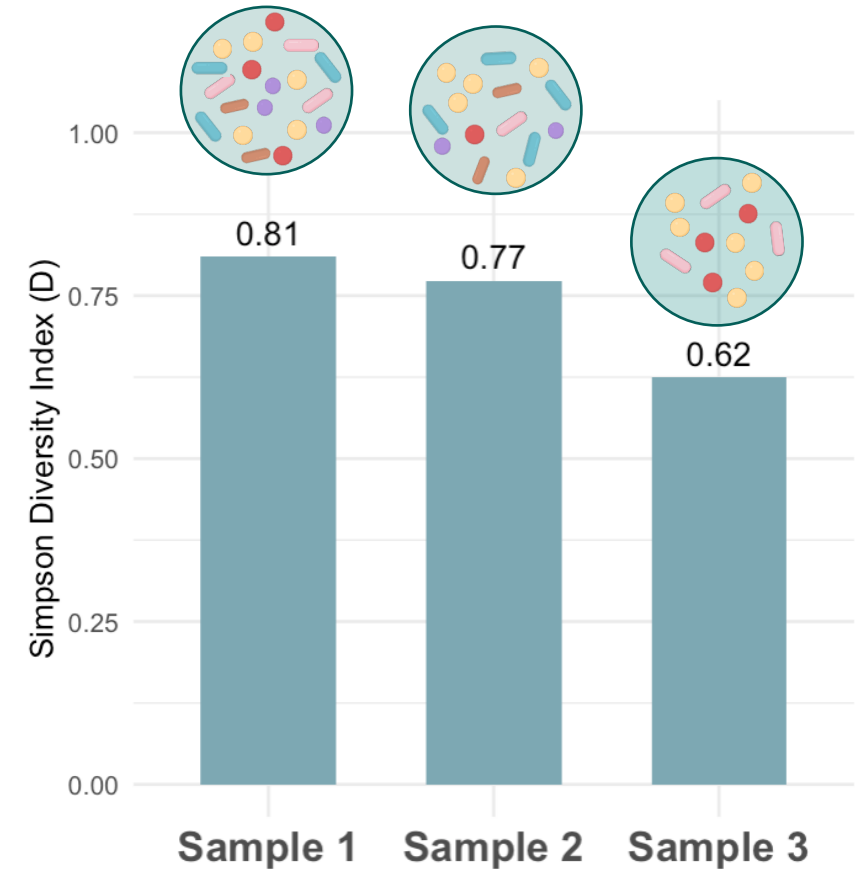
Definition:

Measures the probability that two individuals randomly selected will belong to the same species.

Emphasizes **dominance** (more weight to common species).

$$D = 1 - \sum p_i^2$$

p_i is the proportion of species i



Chao1 Richness Estimator

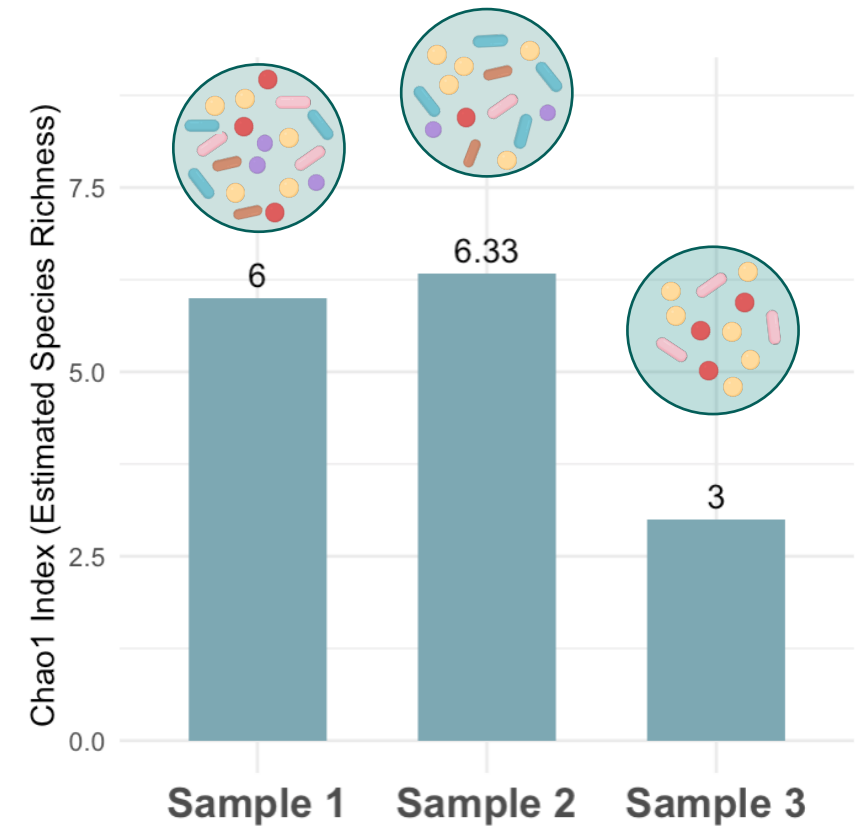
Definition:

Estimate of species richness,
accounting for rare species.

Considers **singletons** and **doubletons**
to estimate the total number of
species, including those that may not
have been observed.

$$S = S_{obs} + (f_1^2) / (2 * f_2)$$

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Other alpha diversity metrics

Pielou's Evenness (J')

Evenness in species distribution

$$J' = \frac{H'}{\ln(S)}$$

Faith's Phylogenetic Diversity (PD)

Measures branch length of a phylogenetic tree. Incorporates evolutionary relationships!

$$PD = \Sigma(\text{branch lengths})$$

ACE (Abundance-based Coverage Estimator)

Estimates richness by accounting for rare species

$$\begin{aligned} & \left(\frac{f_{rare}}{C_{ACE}} \right) S_{ACE} \\ &= S_{abund} + S_{rare} + \end{aligned}$$

Challenges in Alpha Diversity Estimation

- Technical and biological factors can influence the calculation of alpha diversity measurements.
- Common challenges:
 - Differences in sequencing depth
 - Data sparsity

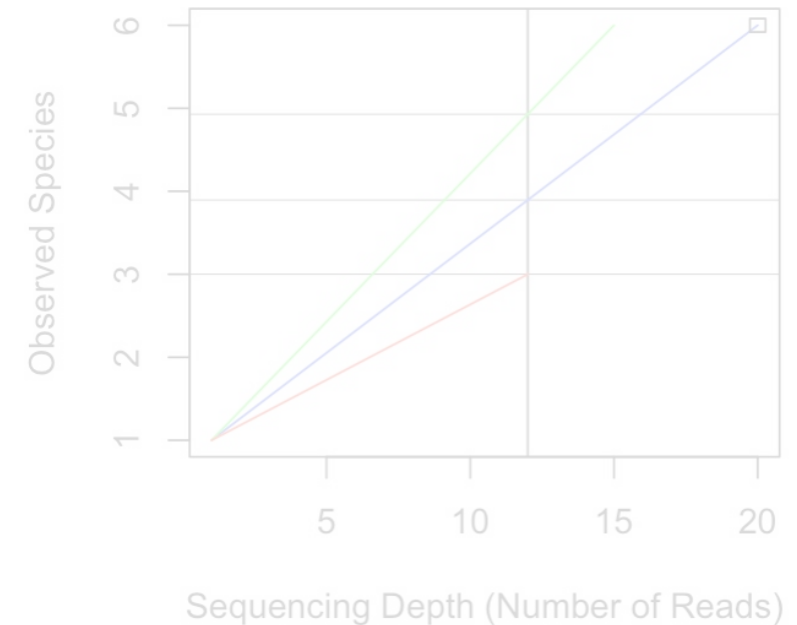
Impact of Sequencing Depth on Alpha diversity

- Sequencing depth: Total number of reads obtained from a sample.
- Higher sequencing depth leads to a better detection of rare species
- Major issue:
 - Inconsistent sequencing depths across samples can lead to inaccurate or incomparable alpha diversity measurements

Using rarefaction to balance sequencing depth and diversity

- **Rarefaction:**

- A technique used to standardize the number of reads across samples
- Random subsampling of each sample to the same sequencing depth
- Prevents that diversity estimates are not inflated by samples with higher depths



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